## AMENDED IN SENATE MAY 12, 2005 AMENDED IN SENATE MAY 4, 2005 AMENDED IN SENATE APRIL 18, 2005

## SENATE BILL

No. 414

## Introduced by Senator Alquist (Coauthor: Senator Torlakson)

February 17, 2005

An act to amend Sections 44579.5, 44579.6, 99230, 99231, 99232, 99233, 99234, 99234.5, 99235, 99237, 99239, 99240, and 99242 of, and to repeal Section 99241 of, the Education Code, relating to instructional programs.

## LEGISLATIVE COUNSEL'S DIGEST

SB 414, as amended, Alquist. Instructional programs: Science, Mathematics, and Reading Teacher (SMART) Development Program-(SMART).

Existing law establishes the Mathematics and Reading Professional Development Program, which is administered by the Superintendent of Public Instruction with the approval of the State Board of Education. Under this program, a local education agency, as defined, receives incentive funding to provide training in mathematics and reading to teachers and to provide training to instructional aides and paraprofessionals, as defined, who directly assist with classroom instruction in mathematics and reading. Under the program, participating school districts and county offices of education may claim staff development funding. Under existing law, the program becomes inoperative on July 1, 2006, and is repealed on January 1, 2007, and the authority for staff development funding becomes inoperative on July 1, 2005, and is repealed on January 1, 2006.

 $SB 414 \qquad \qquad -2-$ 

This bill would establish the Science, Mathematics, and Reading Teacher Development Program (SMART) by recasting and revising numerous provisions relating to the Mathematics and Reading Professional Development Program. The bill would generally add science instruction to the subject matter of the existing program. The bill would also extend the operation of the program, as well as the authority for staff development funding, until July 1, 2012, when the program would become inoperative. The bill would provide for the repeal of these provisions on January 1, 2013.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 44579.5 of the Education Code is 2 amended to read:

amended to read: 3 44579.5. Notwithstanding any other provision of law, a 4 school district or county office of education that participates in 5 the Science, Mathematics, and Reading Teacher Development Program (SMART) pursuant to Article 3 (commencing with 6 7 Section 99230) of Chapter 5 of Part 65 may claim funding, as described in subdivision (c) of Section 44579.1, for the 40 hours of training based on instructional materials adopted by the state 10 board or standards-aligned instructional materials or 80 hours of 11 followup instruction, coaching, or additional schoolsite assistance required pursuant to subdivision (b) of Section 99237 12 13 if the training meets the requirements described in subdivision 14 (d) of Section 44579.1 and is conducted outside of an 15 instructional day that the school district or county office of 16 education is required to provide in order to qualify for funding 17 pursuant to Part 26 (commencing with Section 46000). Funding 18 claimed pursuant to this section shall be in addition to funding 19 received pursuant to Article 3 (commencing with Section 99230)

SEC. 2. Section 44579.6 of the Education Code is amended to read:

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of Chapter 5 of Part 65.

44579.6. This article shall become inoperative on July 1, 2012, and, as of January 1, 2013, is repealed, unless a later enacted statute that is enacted before January 1, 2013, deletes or

-3-SB 414

1 extends the dates on which it becomes inoperative and is 2 repealed.

- 3 SEC. 3. Section 99230 of the Education Code is amended to 4 read:
- 5 99230. This article shall be known and may be cited as the 6 Science, Mathematics, and Reading Teacher Development Program (SMART).

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- SEC. 4. Section 99231 of the Education Code is amended to read:
- 99231. For the purposes of this article, the following terms have the following meanings:
- (a) "Instructional aide" means a person who is employed on either a full-time or a part-time basis for the purpose of directly assisting with classroom instruction in mathematics, reading, or science in a California public school in which kindergarten or any of grades 1 to 12, inclusive, are taught and who does not possess a valid teaching credential, certificate, authorization, or permit issued by the Commission on Teacher Credentialing and does not include a paraprofessional, as defined in subdivision (b).
- (b) "Paraprofessional" means a teacher aide, a teacher assistant, or a speech language pathology assistant who is employed on either a full-time or a part-time basis for the purpose of directly assisting with classroom instruction in mathematics, reading, or science in a California public school in which kindergarten or any of grades 1 to 12, inclusive, are taught and who does not possess a valid teaching credential, certificate, authorization, or permit issued by the Commission on Teacher Credentialing.
- (c) "Instructional materials that are aligned to state standards" means, for grades 1 to 8, inclusive, materials adopted by the State Board of Education after January 1, 2001, unless otherwise authorized by the State Board of Education. For grades 9 to 12, inclusive, "instructional materials that are aligned to state standards" means materials that the governing board of the local education agency has, after careful review, certified are aligned to the state reading, mathematics, or science content standards and the curriculum frameworks for these subjects.
- (d) "Local education agency" means a school district, county 38 39 office of education, or charter school.

SB 414 —4—

(e) "Teacher" means a person who holds a valid teaching credential, certificate, authorization, or permit issued by the California Commission on Teacher Credentialing and is employed on either a full-time or a part-time basis in a California public school in which kindergarten or any of grades 1 to 12, inclusive, are taught.

- SEC. 5. Section 99232 of the Education Code is amended to read:
- 99232. (a) The Science, Mathematics, and Reading Teacher Development Program (SMART) is hereby established, and shall be administered by the Superintendent of Public Instruction with the approval of the State Board of Education.
- (b) A local education agency that maintains kindergarten or any of grades 1 to 12, inclusive, is eligible to apply for and receive incentive funding from funds appropriated for the purpose of this article.
- (c) From funds appropriated for the purpose of this article, the Superintendent of Public Instruction shall award funding to provide teachers and instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science with instruction and training in the areas of mathematics, reading, or science.
- (d) Professional development activities in science under this section shall commence after the State Board of Education adopts science instructional materials in 2006.
- SEC. 6. Section 99233 of the Education Code is amended to read:
- 99233. (a) This program is intended to serve the following categories of staff:
- (1) Teachers employed in a public school for the purpose of teaching in a self-contained classroom that serves pupils in kindergarten or any of grades 1 to 8, inclusive. Teachers described in this paragraph are eligible to receive instruction in both mathematics, reading, and science.
- (2) Teachers employed in a public school for the purpose of providing both mathematics, reading, or science instruction to pupils with disabilities. Teachers described in this paragraph are eligible to receive instruction in both mathematics, reading, and science.

\_5\_ SB 414

(3) Teachers who hold a single-subject teaching credential, certificate, or authorization issued by the Commission on Teacher Credentialing that authorizes them to teach English or social science in a classroom that is not self-contained and who are employed in a public school. Teachers described in this paragraph are eligible to receive instruction in reading.

- (4) Holders of one-year emergency teaching permits and emergency career substitute teaching permits who are employed in a public school and assigned to teach English or social science courses in a classroom that is not self-contained. Teachers described in this paragraph are eligible to receive instruction in reading.
- (5) Teachers who hold a single-subject teaching credential, certificate, or authorization issued by the Commission on Teacher Credentialing that authorizes them to teach mathematics or science in a classroom that is not self-contained and who are employed in a public school. Teachers described in this paragraph are eligible to receive instruction in both mathematics and science.
- (6) Holders of one-year emergency teaching permits and emergency career substitute teaching permits who are employed in a public school and assigned to teach mathematics or science courses in a classroom that is not self-contained. Teachers described in this paragraph are eligible to receive instruction in both mathematics and science.
- (7) Instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science who are employed in a public school for the purpose of assisting teachers in the instruction of pupils in kindergarten or any of grades 1 to 12, inclusive. Instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science described in this paragraph are eligible to receive instruction in mathematics, reading, or science.
- (b) Holders of emergency 30-day substitute teaching permits issued by the California Commission on Teacher Credentialing are not eligible to receive training offered pursuant to this article.
- SEC. 7. Section 99234 of the Education Code is amended to read:

SB 414 -6-

99234. (a) (1) The Superintendent of Public Instruction shall notify local educational agencies that they are eligible to receive an incentive award based on the percentage of eligible teachers calculated in accordance with provisions of an item of appropriation in the annual Budget Act. It is the intent of the Legislature that a local educational agency give highest priority to training teachers assigned to high-priority schools and teachers assigned to schools that are under state sanctions.

- (2) It is also the intent of the Legislature that funding appropriated in one fiscal year that is not expended by a local educational agency be redirected to local educational agencies that have trained more eligible teachers than the percentage funded. If a redirection of funding occurs, funding in subsequent fiscal years for the local educational agencies involved shall be adjusted to reflect the redirection of funding.
- (b) A school district that cannot make the certification required pursuant to paragraph (3) of subdivision (a) of Section 99237 for all the grade levels it maintains in reading, mathematics, or science may apply for and receive incentive funding for the grade levels and subjects for which it can make the certification required pursuant to paragraph (3) of subdivision (a) of Section 99237, in which case the certified assurance submitted pursuant to Section 99237 applies only to the professional development provided to teachers and instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science in the grade levels and subjects for which it can make the certification required pursuant to paragraph (3) of subdivision (a) of Section 99237.
- (c) Of the incentive provided pursuant to subdivision (a), a local educational agency may use not more than one thousand dollars (\$1,000) of the per teacher per subject amount to provide an individual teacher stipend.
- (d) The Superintendent of Public Instruction shall notify local educational agencies that the maximum funding for the purpose of this article for which they are eligible each year is equal to the percentage calculated in accordance with provisions of an item of appropriation in the annual Budget Act, multiplied by the sum of the following two factors multiplied by two thousand five hundred dollars (\$2,500):

\_7\_ SB 414

(1) Twice the number of multiple subjects teachers teaching in a self-contained classroom and special education teachers, as specified in paragraphs (1) and (2) of Section 99233, that provide direct instruction in reading, mathematics, or science as reported in the most recent available CBEDS data, who have not received training pursuant to either this article or Article 2 (commencing with Section 99220).

- (2) The number of mathematics, English, science, and social science teachers as specified in paragraphs (3) to (6), inclusive, of Section 99233 that were reported in the most recent available CBEDS data, who have not received training pursuant to either this article or Article 2 (commencing with Section 99220).
- (e) The Superintendent of Public Instruction shall allocate funding appropriated for the purposes of this article in the following order of priority:
- (1) Two thousand five hundred dollars (\$2,500) for each qualifying teacher who was provided training pursuant to subdivision (a) in the prior year for whom the local educational agency did not receive funding due to insufficient availability of funds in the prior fiscal year.
- (2) Two thousand five hundred dollars (\$2,500) for each qualifying teacher who was provided training pursuant to this article, subject to the limitations in subdivision (d).
- (3) Two thousand five hundred dollars (\$2,500) for each qualifying teacher who was provided training pursuant to this article in excess of limitations in subdivision (d).
- (f) Funding may not be provided to a local educational agency until the State Board of Education approves the agency's certified assurance submitted pursuant to Section 99237.
- (g) Of the funding a local educational agency is eligible to receive pursuant to this section for each eligible teacher, 50 percent shall be awarded following the provision of 40 hours of training based on instructional materials adopted by the State Board of Education or standards-aligned instructional materials, as specified in subdivision (b) of Section 99237, with the remaining funding to be awarded following certification of the provision of the 80 hours of followup instruction as specified in subdivision (b) of Section 99237. The 80 hours of training may be completed over a two-year period.

SB 414 —8—

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(h) A local educational agency may not receive funds pursuant to this article for teachers who receive training pursuant to Article 2 (commencing with Section 99220) using funding provided pursuant to Article 2 (commencing with Section 99220).

- SEC. 8. Section 99234.5 of the Education Code is amended to read:
- 99234.5. Prioritization for participation in the program established pursuant to this article shall be determined in the following manner:
- (a) Teachers who have not participated in a professional development institute in reading, mathematics, or science that is authorized pursuant to Article 2 (commencing with Section 99220) shall be accorded first priority for training pursuant to this article.
- (b) Teachers who have participated in a professional development institute in reading, mathematics, or science that is authorized pursuant to Article 2 (commencing with Section 99220), but who have not yet received supplemental training in the areas specified in paragraph (2) of subdivision (a) of Section 99237, shall be accorded second priority for training pursuant to this article.
- SEC. 9. Section 99235 of the Education Code is amended to read:
- 99235. (a) The Superintendent of Public Instruction shall notify local educational agencies that they are eligible to receive funding to provide instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science with professional development training in mathematics, reading, or science, in an amount equal to one thousand dollars (\$1,000) per qualifying instructional aide. Funding will be provided to local educational agencies on a first-come-first-served basis. A local educational agency that chooses to participate in the program is eligible to receive funding for no greater than the percentage calculated in accordance with provisions of an item of appropriation in the Budget Act for annual its instructional paraprofessionals.
- 39 (b) Of the incentive provided pursuant to subdivision (a), a 40 local educational agency may use not more than five hundred

-9- SB 414

dollars (\$500) of the per instructional aide and paraprofessional, who directly assist with classroom instruction in mathematics, reading, or science, amount to provide an individual instructional aid stipend.

- SEC. 10. Section 99237 of the Education Code is amended to read:
- 99237. (a) As a condition of receipt of funds for purposes of Section 99234 or 99235, a local education agency shall submit a certified assurance signed by the appropriate agency official and approved in a public session by the governing body of the agency to the State Board of Education that contains its proposal to satisfy the following:
- (1) It contracted with a provider whose training curriculum was approved by the State Board of Education or the local education agency's training curriculum was approved by the State Board of Education. Approval by the State Board of Education of the training curriculum shall be based on the criteria contained in paragraph (4) and in subdivision (b).
- (2) It or the provider with whom it contracted provided professional development training focused primarily on the following:
- (A) The use of instructional materials that will be used by pupils and are aligned to the English language arts, mathematics, or science content standards adopted by the State Board of Education pursuant to Section 60605.
- (B) The English language arts, mathematics, and science content standards adopted by the State Board of Education pursuant to Section 60605.
- (C) The curriculum frameworks adopted by the State Board of Education for English language arts, mathematics, and science.
- (D) The training shall include strategies of differentiated instruction instructional strategies to teach essential content in ways that address the varied learning needs of pupils, with special emphasis on English language learners and pupils with disabilities.
- (3) (A) It provides each pupil with instructional materials that are aligned to the state content standards in English language arts, mathematics, and science no later than the first day of the first school term that commences 12 months or less after those materials are adopted by the State Board of Education in the case

SB 414 — 10 —

of instructional materials for grades 1 to 8, inclusive, or by the governing board of the school district in the case of instructional materials for grades 9 to 12, inclusive.

- (B) For local education agencies that are piloting or evaluating instructional materials that are aligned to the state content standards in English language arts, mathematics, and science, those materials shall be provided to each pupil no later than the first day of the first school term that commences 24 months or less after those materials were adopted by the State Board of Education in the case of instructional materials for grades 1 to 8, inclusive, or by the governing board of the school district in the case of instructional materials for grades 9 to 12, inclusive.
- (C) If a local education agency has not adopted instructional materials as required by subparagraph (A) for one or more grade levels because it is piloting or evaluating those instructional materials, the local education agency may only claim funding pursuant to Section 99234 for grade levels and subjects where the local education agency is in compliance with subparagraphs (A) and (B).
- (D) For each teacher, in each core area for which funding is claimed pursuant to this article and for which there are not standards-aligned textbooks for each pupil, as determined through an audit, the Superintendent of Public Instruction, on a one-time basis, shall withhold from the local education agency's next monthly principal apportionment payment an amount equal to one hundred dollars (\$100) for each of those pupils. The funds withheld are deemed to be an offset against the training funds provided pursuant to this article.
- (4) It provides in-house professional development that focuses primarily on the following:
- (A) The use of instructional materials that will be used by pupils and are aligned to the English language arts, mathematics, and science content standards adopted by the State Board of Education pursuant to Section 60605.
- (B) The English language arts, mathematics, and science content standards adopted by the State Board of Education pursuant to Section 60605.
- 38 (C) The curriculum frameworks adopted by the State Board of Education for these subjects.

—11— SB 414

(D) The training shall include strategies of differentiated instruction instructional strategies to teach essential content in ways that address the varied learning needs of pupils, with special emphasis on English language learners and students with disabilities.

- (5) It provides the data elements required pursuant to Section 99240.
- (b) As an additional condition of receipt of funds for purposes of Section 99234, a local education agency shall certify both of the following that:
- (1) That 40 Forty hours of training based on instructional materials adopted by the State Board of Education or standards-aligned instructional materials and 80 hours of followup instruction, coaching, or additional schoolsite assistance, in mathematics, reading, or science, as appropriate, was provided to teachers who meet the criteria specified in paragraphs (1) and (2) of subdivision (a) of Section 99233.
- (2) That 40 Forty hours of professional development in reading and an average of 80 hours of followup instruction, coaching, or additional schoolsite assistance was provided to teachers who meet the criteria specified in paragraphs (3) and (4) of subdivision (a) of Section 99233, and that 40 hours of professional development in mathematics and an average of 80 hours of followup instruction, coaching, or additional schoolsite assistance was provided to teachers who meet the criteria specified in paragraphs (5) and (6) of subdivision (a) of Section 99233.
- (c) If, as the result of a program audit, it is found that the participating local education agency served less participants than it was funded to serve, the Superintendent of Public Instruction shall withhold from the local education agency's next monthly principal apportionment payment an amount proportional to the amount of funding associated with the number of teachers that were not served.
- (d) If, as the result of a program audit, it is found that the training provided by the local education agency or the provider with whom it contracted did not meet the requirements of paragraph (4) of subdivision (a), the Superintendent of Public Instruction shall withhold from the local education agency's next monthly principal apportionment payment an amount equal to the

SB 414 — 12 —

amount of funding associated with the training that was not aligned to state standards and curriculum frameworks.

- (e) In addition to receiving funding pursuant to this article, a school district, charter school, or county office of education may also claim funding under the Instructional Time and Staff Development Reform Program established pursuant to Article 7.5 (commencing with Section 44579) of Chapter 3 of Part 25 for the 40 hours of training based on instructional materials adopted by the State Board of Education or standards-aligned instructional materials or 80 hours of followup instruction, coaching, or additional schoolsite assistance required pursuant to subdivision (b) if the training meets the requirements of Section 44579.5.
- (f) The State Board of Education shall establish a procedure and criteria for local educational agencies to appeal to the board the findings of an audit conducted pursuant to this article. The board may reduce or eliminate the amount to be withheld pursuant to subdivision (d) if the board determines that the local educational agency was in substantial compliance with this section.
- (g) It is the intent of the Legislature that audits referenced in subdivisions (c) and (d) be conducted as part of a compliance audit performed in accordance with Sections 14503, 14508, and 41020.
- SEC. 11. Section 99239 of the Education Code is amended to read:
- 99239. A local education agency or postsecondary institution that offers an accredited program of professional preparation may consider providing partial and proportional credit toward satisfaction of the course requirements to an enrolled candidate who satisfactorily completes a mathematics, reading, or science professional development program, as described in this article, if the program has been certified by the Commission on Teacher Credentialing as meeting preparation standards.
- SEC. 12. Section 99240 of the Education Code is amended to read:
- 37 99240. (a) By July 1, 2008, the State Department of 38 Education shall submit, subject to review and approval by the 39 State Board of Education, a report to the Legislature regarding

-13 - SB 414

the program established pursuant to this article. The report shall, at a minimum, detail all of the following:

- (1) The number of teachers, by credential type, who have received training offered pursuant to this article.
- (2) The number of instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science who have received training offered pursuant to this article.
- (3) The entities that have received funds for the purpose of offering training pursuant to this article and the number of teachers and instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science, respectively, that each has trained.
- (4) Information detailing the effectiveness of the program established pursuant to this article. This information shall, at a minimum, incorporate survey data concerning program effectiveness that has been gathered from program participants and school principals.
- (5) To the extent that information is available, information detailing the retention rate, by credential type, of teachers who participated in training offered pursuant to this article. The information shall, at a minimum, incorporate sample data concerning teachers who are no longer in the profession.
- (6) To the extent that information is available, information detailing the retention rate of instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science who participated in training offered pursuant to this article. The information shall, at a minimum, incorporate sample data concerning aides who are no longer in the profession, as well as aides who have obtained a teaching credential subsequent to the training.
- (b) By June 30, 2005, the State Department of Education shall submit, subject to review and approval by the State Board of Education, a report to the Legislature regarding the program established pursuant to this article. The report shall, at a minimum, detail the following:
- (1) The number of teachers, by credential type, who received training offered pursuant to this article.
- (2) The number of instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics or

SB 414 — 14—

1 reading, and who received training offered pursuant to this 2 article.

- (3) The entities that received funds for the purpose of offering training pursuant to this article and the number of teachers and instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, or reading, respectively, that each has trained.
- (4) Information detailing the effectiveness of the program established pursuant to this article. This information shall, at a minimum, incorporate survey data concerning program effectiveness that has been gathered from program participants and school principals.
- (5) To the extent information is available, information detailing the retention rate, by credential type, of teachers who participated in training offered pursuant to this article. The information shall, at a minimum, incorporate sample data concerning teachers who are no longer in the profession.
- (6) To the extent information is available, information detailing the retention rate of instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics or reading, and who participated in training offered pursuant to this article. The information shall, at a minimum, incorporate sample data concerning aides who are no longer in the profession, as well as aides who have obtained a teacher credential subsequent to training.
- (c) By December 31, 2012, the State Department of Education shall submit, subject to review and approval by the State Board of Education, a report to the Legislature regarding the program established pursuant to this article. The final report shall, at a minimum, detail all of the following:
- (1) The number of teachers, by credential type, who received training offered pursuant to this article.
- (2) The number of instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science, and who receive training offered pursuant to this article.
- (3) The entities that received funds for the purpose of offering training pursuant to this article and the number of teachers and instructional aides and paraprofessionals who directly assist with

—15— SB 414

classroom instruction in mathematics, reading, or science, respectively, that each has trained.

- (4) Information detailing the effectiveness of the program established pursuant to this article. This information shall, at a minimum, incorporate survey data concerning program effectiveness that has been gathered from program participants and school principals.
- (5) To the extent that information is available, information detailing, by credential type, the retention rate of teachers who participated in training offered pursuant to this article. The information shall, at a minimum, incorporate sample data concerning teachers who are no longer in the profession.
- (6) To the extent that information is available, information detailing the retention rate of instructional aides and paraprofessionals who directly assist with classroom instruction in mathematics, reading, or science who participated in training offered pursuant to this article. The information shall, at a minimum, incorporate sample data concerning aides who are no longer in the profession, as well as aides who have obtained a teacher credential subsequent to receiving training.
  - SEC. 13. Section 99241 of the Education Code is repealed.
- SEC. 14. Section 99242 of the Education Code is amended to read:
- 99242. This article shall become inoperative on July 1, 2012, and, as of January 1, 2013, is repealed, unless a later enacted statute, that becomes operative on or before January 1, 2013, deletes or extends the dates on which it becomes inoperative and is repealed.